

PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

BATG-10

First Named Inventor

Ehling

COMPLETE IF KNOWN

Application Number

10/772,839

Filing Date

02/05/2004

Art Unit

1761

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PRESSURE-CONDITIONING METHOD AND DEVICE*(Title of the Invention)*

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

02/05/2004

as United States Application Number or PCT International

Application Number

10/772,839

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
103 04 629.1	Germany (DE)	02/05/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
FRANK		PLÜCKHAHN	
Inventor's Signature <i>Frank Plückhahn</i>		Date <i>02/ Feb / 2004</i>	
BAYREUTH Residence: City	State	GERMANY Country	GERMAN Citizenship
LEOPOLDSTR. 14a Mailing Address			
Mailing Address			
BAYREUTH City	State	95444 Zip	GERMANY Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
HANS-WERNER		FUCHS	
Inventor's Signature <i>Hans-Werner Fuchs</i>		Date <i>30 Jan / 2004</i>	
THURNAU Residence: City	State	GERMANY Country	GERMAN Citizenship
AM PETERSHOF 5 Mailing Address			
Mailing Address			
THURNAU City	State	95349 Zip	GERMANY Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
MATTHIAS		LINK	
Inventor's Signature <i>Dr. Matthias Link</i>		Date <i>31/01/04</i>	
BAYREUTH Residence: City	State	GERMANY Country	GERMAN Citizenship
GONTARDSTR. 3 Mailing Address			
Mailing Address			
BAYREUTH City	State	95445 Zip	GERMANY Country

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PTO/SB/01 (06-03)

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: 27868				OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) UWE WERNER				Family Name or Surname EHLING	
Inventor's Signature <i>Uwe Werner</i>				Date 30.07.04	
Residence: City GOLDKRONACH		State --		Country GERMANY	
Mailing Address DANZIGER STRA. 8					
City GOLDKRONACH		State --		Country GERMANY	
ZIP 95497		Citizenship GERMAN			
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) GERALD				Family Name or Surname SCHUMEREL	
Inventor's Signature <i>Gerald Schumerel</i>				Date 03/Feb/2004	
Residence: City ELMSHORN		State --		Country GERMANY	
Mailing Address SCHUMACHERSTR. 72					
City ELMSHORN		State --		Country GERMANY	
ZIP 25337		Citizenship GERMAN			
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					



486698V

PTO/SB/81 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	
Filing Date	
First Named Inventor	EHLING
Title	
Art Unit	
Examiner Name	
Attorney Docket Number	BATG-10

I hereby appoint:

☒ Practitioners associated with the Customer Number:

27868

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City	State	Zip		
Country				
Telephone	Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

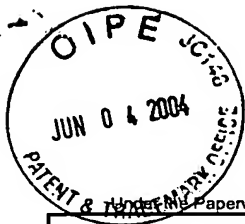
Name	Jonny Bülow	Nikolaus Mohr
Signature		
Date	05.02.2004	Telephone 44-23-80793731

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: UWE WERNER EHLING et al.Application No./Patent No.: n/a Filed/Issue Date: n/aEntitled: PRESSURE-CONDITIONING METHOD AND DEVICE

British American Tobacco (Germany) GmbH, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

02 / 19 / 2004
Date44-23-80793731

Telephone number

Dr. B. Brümmer, Dr. W. Dorsch

Typed or printed name

J. J. Dorsch

Signature

Head of D&T, Head of Patents Dept.
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TO 100700

ASSIGNMENT OF INVENTION
AND OF LETTERS PATENT

Whereas, we, (the "Inventors/Assignors") Uwe Werner Ehling, Danziger Stra. 8, 95497 Goldkronach, Germany; Gerald Schmekel, Schumacherstr. 72, 25337 Elmshorn, Germany; Frank Plückhahn, Leopoldstr. 14a, ⁹⁵⁴⁴⁴~~95445~~ Bayreuth, Germany; Hans-Werner Fuchs, Am Petershof 5, 95349 Thurnau, Germany; and, Matthias Link, Gontardstr. 3, 95445 Bayreuth, Germany, have invented certain improvements in a **PRESSURE-CONDITIONING METHOD AND DEVICE**, (the "Invention"), which claims priority to and benefit from German Patent Application 103 04 629.1, filed on February 5, 2003, of which a United States Utility Patent Application is being filed herewith, (the "Application"); and

Whereas, (the "Assignee") **British American Tobacco (Germany) GmbH**, a German Limited Liability Corporation, Alsterufer 4, 20354, Hamburg, Germany, (including its successors and assigns), desires to acquire our entire right, title and interest in said **Application and Invention**, and any United States Patents to be obtained therefor;

Now therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby assign and transfer unto said Assignee, the entire right, title and interest in said **Application** and the **Invention** disclosed therein for the United States of America, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, divisionals, and reissues based thereon which may be filed or granted therefor in the United States. We agree to cooperate with Assignee and to execute without additional consideration any additional documents as deemed necessary by

Assignee, to apply for or maintain patents or other legal protection for the **Invention** in the United States of America.

We hereby authorize and request the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the **Invention** set forth in this application to said Assignee.

Executed this 30 day of Oct, 2004 at Bayreuth, Germany

Uwe Werner Ehling
Uwe Werner Ehling (Inventor/Assignor)

Executed this 03 day of Feb, 2004 at Bayreuth, Germany
(city)

Gerald Schmekel
Gerald Schmekel (Inventor/Assignor)

Executed this 02 day of Feb, 2004 at Bayreuth, Germany
(city)

Frank Plückhahn
Frank Plückhahn (Inventor/Assignor)

Executed this 30 day of Jan, 2004 at Bayreuth, Germany
(city)

Hans-Werner Fuchs
Hans-Werner Fuchs (Inventor/Assignor)

Executed this 31 day of Jan, 2004 at Bayreuth, Germany
(city)

Dr. Matthias Link
Matthias Link (Inventor/Assignor)

~~Executed this ___ day of ___, 2004 at ___, Germany~~
(city)